

GROUP-ARCS OF PRIME POWER ORDER ON CUBIC CURVES

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Abstract

This article continues the characterization of elliptic curves among sets in a finite plane which are met by lines in at most three points. The case treated here is that of sets of prime-power cardinality.

1 Notation

$GF(q)$	the finite field of q elements
$PG(2, q)$	the projective plane over $GF(q)$
$PG^{(1)}(2, q)$	the set of lines in $PG(2, q)$
$\mathbf{P}(X)$	the point of $PG(2, q)$ with coordinate vector X
PQ	the line joining the points P and Q
$\ell(P, Q)$	PQ
$\langle P \rangle$	the group generated by P .

2 Introduction

This article continues the work of [5] in considering sufficient conditions for a set of points in a finite plane to be embedded in a cubic curve. Similar results to those in [5] were obtained independently by Ghinelli, Melone and Ott [1].

For completeness the main results in [5] need to be summarized.

Definition 2.1 *A $(k; n)$ -arc in $PG(2, q)$ is a set of k points with at most n points on any line of the plane.*

The fundamental problem is to decide when a $(k; n)$ -arc \mathcal{K}_n lies on an absolutely irreducible algebraic curve \mathcal{C}_n of degree n . Here we consider the problem for $n = 3$.

A crucial point is the number of points \mathcal{K}_3 contains and the number of rational points on \mathcal{C}_3 . Let $m_3(2, q)$ be the maximum number of points on \mathcal{K}_3 . Then

$$m_3(2, q) \leq 2q + 1 \text{ for } q > 3, \tag{1}$$

[10], [2, p.331], and the exact values known are given in Table 1.

For $q = 11$, $2q - 1 \leq m_3(2, q) \leq 2q + 1$.

q	2, 3	4, 5, 7	8, 9
$m_3(2, q)$	$2q + 3$	$2q + 1$	$2q - 1$

Table 1: Values for $m_3(2, q)$

For an elliptic curve, $N_q(1)$ is the maximum number of points it can contain. Its value, for $q = p^h$ with p prime, is

$$N_q(1) = \begin{cases} q + [2\sqrt{q}] & \text{when } h \text{ is odd, } h \geq 3 \text{ and } p \mid [2\sqrt{q}] \\ q + 1 + [2\sqrt{q}] & \text{otherwise,} \end{cases}$$

where $[t]$ denotes the integer part of t , [12], [3, p. 273]. The precise values that are achieved by the number of points of an elliptic curve over $GF(q)$ are also known [12], as well as the number of isomorphism classes and the number of plane projective equivalence classes for a given value, [9]. For such a value the possible structures of the abelian group the points form is also known, [8], [11].

3 Axioms

Now, we recall the axioms imposed on a $(k; 3)$ -arc in [5], and then solve the main case unresolved there. For further motivation and details concerning the axioms, see [5, section 2].

Let \mathcal{K} be a $(k; 3)$ -arc in $PG(2, q)$. Four axioms (E1) - (E4) are required. For each axiom, the property that it gives to \mathcal{K} is mentioned in parentheses.

- (E1) There exists O in \mathcal{K} such that $\ell \cap \mathcal{K} = \{O\}$ for some line ℓ . (INFLEXION)
- (E2) There exists an injective map $\tau : \mathcal{K} \setminus \{O\} \rightarrow PG^{(1)}(2, q)$ such that $P \in P\tau$ and $|P\tau \cap \mathcal{K}| \leq 2$, for all $P \in \mathcal{K} \setminus \{O\}$. (TANGENT)
- (E3) If $P, Q \in \mathcal{K}$ and $PQ \neq P\tau$ or $Q\tau$, then $|PQ \cap \mathcal{K}| = 3$. (FEW BISECANTS)
- (E4) For $P \in \mathcal{K}$, define \bar{P} to be the third point of \mathcal{K} on OP . For $P, Q \in \mathcal{K}$, define $P + Q = \bar{R}$, where R is the third point of \mathcal{K} on PQ . Now, let \mathcal{K} be an abelian group under the operation $+$ with identity O and $-P = \bar{P}$. (ABELIAN GROUP)

Definition 3.1 A $(k; 3)$ -arc \mathcal{K} satisfying (E1) - (E4) is called a group-arc or k -group-arc.

It follows from the axioms that

- (a) any subgroup of a group-arc is a group-arc,
- (b) $P + Q + R = O$ if and only if P, Q, R are collinear.

Definition 3.2 In $PG(2, q)$, the point set \mathcal{S} is linearly determined by the set \mathcal{T} of points and lines if every point of \mathcal{S} is the intersection of two lines each of which is in \mathcal{T} or is the join of two points of \mathcal{T} or is the join of two points iteratively determined in this way.

Lemma 3.3 If P is a point of an arbitrary group-arc, then the cyclic group $\langle P \rangle$ is linearly determined by $\{O, \pm P, \pm 2P, 3P, (-2P)\tau\}$.

Lemma 3.4 *Let P be a point of order at least six of a group-arc. Then $\langle P \rangle$ is a subgroup of a unique cubic curve with inflexion O .*

Lemma 3.5 *Let \mathcal{E}_1 and \mathcal{E}_2 be cubic curves and \mathcal{K} a k -group-arc which is a subgroup of both \mathcal{E}_1 and \mathcal{E}_2 . If $k > 5$, then $\mathcal{E}_1 = \mathcal{E}_2$.*

Lemma 3.6 *Let \mathcal{K} be a group-arc contained in a cubic curve \mathcal{E} such that any cyclic subgroup of \mathcal{K} is a subgroup of \mathcal{E} . Then \mathcal{K} is a subgroup of \mathcal{E} .*

Theorem 3.7 *Let \mathcal{K} be a k -group-arc in $PG(2, q)$ such that one of the following hold:*

- (a) $k = p_1 p_2 r$ where p_1 and p_2 are distinct primes ≥ 7 ;
- (b) $k = 2^a 3^b 5^c p_1^d$, where p_1 is a prime ≥ 7 , $d \geq 1$ and $2^a 3^b 5^c \geq 6$.

Then \mathcal{K} is a subgroup of the group of non-singular points of a cubic curve.

The theorem leaves the following values of k to be considered:

- (i) $k = 2^a 3^b 5^c$, with $a, b, c \geq 0$; (ii) $k = e p_1^d$, with p_1 prime ≥ 7 , $d \geq 1$, $1 \leq e \leq 5$.

In the next section we consider case (ii).

4 The main theorem

Lemma 4.1 *Suppose P, Q are elements of a group-arc \mathcal{K} both of prime order $p_1 \neq 2, 3$ generating a subgroup G of order $(p_1)^2$. Then G is uniquely determined by*

$$O, \pm P, \pm Q, P \pm Q, 2P.$$

Proof: First,

$$\begin{aligned} -P - Q &= \ell(P, Q) \cap \ell(O, P + Q), \\ -P + Q &= \ell(P, -Q) \cap \ell(O, P - Q). \end{aligned}$$

Now assume, by induction on $m < p_1 - 1$, that we know

$$\pm(iP + Q), \pm iP$$

for $i = 0, \dots, m$. This is true for $i = 1$. Now we determine these points for $i = m + 1$ as follows:

$$\begin{aligned} -(m+1)P - Q &= \ell(P, mP + Q) \cap \ell(2P, (m-1)P + Q), \\ (m+1)P + Q &= \ell(-P, -mP - Q) \cap \ell(O, -(m+1)P - Q), \\ (m+1)P &= \ell(-P, -mP) \cap \ell(Q, -(m+1)P - Q), \\ -(m+1)P &= \ell(P, mP) \cap \ell(O, (m+1)P). \end{aligned}$$

The last equality works providing the two lines are distinct; that is, providing $(m+1)P \neq O$ or $(2m+2)P \neq O$. However, the first is true since otherwise the induction would have been finished at the previous step.

In particular, $\langle P \rangle$ has been determined. Now $\langle P_1 \rangle$, where $P_1 = P + Q$, is found. From the previous step,

$$O, \pm P_1, \pm Q, P_1 \pm Q, 2P_1$$

are required. Of these, the only ones lacking are $P_1 + Q$ and $2P_1$. These are determined as follows:

$$\begin{aligned} P_1 + Q &= P + 2Q = \ell(-P - Q, -Q) \cap \ell(-2P - Q, P - Q), \\ 2P_1 &= 2P + 2Q = \ell(-2P - Q, -Q) \cap \ell(-3P - Q, P - Q). \end{aligned}$$

Now, with P_1 instead of P , we can determine $\langle P_2 \rangle$, where $P_2 = P_1 + Q = P + 2Q$. Continuing this process, $\langle P + mQ \rangle$ for $m = 0, 1, \dots, p_1 - 1$ can be determined. To complete the proof, only $\langle Q \rangle$ needs to be found. By reversing the initial roles of P and Q , we require

$$O, \pm P, \pm Q, Q \pm P, 2Q.$$

Of these, only $2Q$ is missing; this is given by

$$2Q = \ell(P, -P - 2Q) \cap \ell(-P, P - 2Q).$$

Corollary 4.2 *A group-arc \mathcal{K} isomorphic to $(\mathbf{Z}_{p_1})^2$, $p_1 \geq 5$, is a subgroup of a unique cubic curve.*

Proof: Given $O, \pm P, \pm Q, P \pm Q, 2P$, where $\mathcal{K} = \langle P \rangle \oplus \langle Q \rangle$, the conditions that a cubic passes through these points and has an inflexion at O are nine independent conditions and determine the cubic uniquely.

Theorem 4.3 *Let \mathcal{K} be a k -group-arc in $PG(2, q)$ such that k is divisible by a prime $p_1 \geq 7$. Then \mathcal{K} is a subgroup of a unique cubic curve.*

Proof: By Theorem 3.7, it suffices to consider the case that $k = ep_1^d$ with $1 \leq e \leq 5$.

Consider first the case that the p_1 -Sylow subgroup \mathcal{P}_1 of \mathcal{K} is cyclic so that $\mathcal{P}_1 = \langle P_1 \rangle$. Now, $\mathcal{K} = \mathcal{P}_1 \oplus G$, where $|G| = e$ and $|\mathcal{P}_1| = p_1^d$. As \mathcal{P}_1 is cyclic it is contained in a cubic curve \mathcal{E}_1 . For any point P in \mathcal{P}_1 , the subgroup $\langle P \rangle$ is contained in a cubic curve \mathcal{E} , which coincides with \mathcal{E}_1 by Lemma 3.5. If Q is any point of \mathcal{K} , then $Q = P + R$ for some $P \in \mathcal{P}_1$ and $R \in G$. By Lemma 3.4, $\langle Q \rangle$ is contained in a cubic curve \mathcal{E}' ; also, since the orders of P and R are coprime, $\langle Q \rangle$ contains both $\langle P \rangle$ and $\langle R \rangle$. Again, by Lemma 3.5, $\mathcal{E}' = \mathcal{E}_1$. Hence $\mathcal{K} \subset \mathcal{E}_1$.

Now consider the non-cyclic case and let $\mathcal{K}_1 \subset \mathcal{K}$ with \mathcal{K}_1 isomorphic to $(\mathbf{Z}_{p_1})^2$. Then, by the previous corollary, \mathcal{K}_1 is contained in a cubic \mathcal{E} . As in the previous case, $\mathcal{K} = \mathcal{K}_0 \oplus G$ where $|G| = e$ and $|\mathcal{K}_0| = p_1^d$. If P in $\mathcal{K}_0 \setminus \mathcal{K}_1$ has order p_1^λ , then $\langle P \rangle$ is contained in a cubic \mathcal{E}' and, for $Q \in \mathcal{K}_1 \setminus \{O\}$, the sum $\langle p_1^{\lambda-1}P \rangle \oplus \langle Q \rangle$ is contained in a cubic \mathcal{E}'' . Now $\mathcal{E}'' \cap \mathcal{E} \supset \langle Q \rangle$, whence $\mathcal{E}'' = \mathcal{E}$ by Lemma 3.5. Also, $\mathcal{E}' \cap \mathcal{E}'' \supset \langle p_1^{\lambda-1}P \rangle$ and so $\mathcal{E}' = \mathcal{E}''$. Hence $\mathcal{E} = \mathcal{E}'$ and therefore $\mathcal{K}_0 \subset \mathcal{E}$.

Now, let $R \in G$. Then there is a cubic \mathcal{E}''' containing $\langle R + Q \rangle$. As $e(R + Q) = eQ \in \mathcal{E}$ and $eQ \neq O$, so $\langle eQ \rangle = \langle Q \rangle$ and $\mathcal{E}''' \cap \mathcal{E} \supset \langle Q \rangle$. Therefore $\mathcal{E}''' = \mathcal{E}$ by Lemma 3.5 and $\langle R + Q \rangle \subset \mathcal{E}$, whence $p_1(R + Q) = p_1R \in \mathcal{E}$. So $R \in \mathcal{E}$. It has now been shown that both G and \mathcal{K}_0 lie in \mathcal{E} , whence $\mathcal{K} \subset \mathcal{E}$.

5 Small cases

I. $k = 8$

Lemma 5.1 *An 8-group-arc \mathcal{K} isomorphic to $\mathbf{Z}_2 \times \mathbf{Z}_2 \times \mathbf{Z}_2$ exists in $PG(2, q)$ if and only if $q = 2^h, h \geq 3$. Such a group-arc lies on a unique cuspidal cubic.*

Proof: Let $O = \mathbf{P}(1, 0, 0), P = \mathbf{P}(0, 1, 0), Q = \mathbf{P}(0, 0, 1), R = \mathbf{P}(1, 1, 1)$ be points of \mathcal{K} . Then

$$\begin{aligned} R + Q &= \mathbf{P}(t, t, 1), t \neq 0, 1; \\ P + R &= \mathbf{P}(1, s, 1), s \neq 1. \end{aligned}$$

Also

$$\begin{aligned} P + Q &= \ell(P, Q) \cap \ell(Q + R, P + R) = \mathbf{P}(0, t - ts, 1 - t); \\ P + Q + R &= \ell(P + R, Q) \cap \ell(P, Q + R) = \mathbf{P}(1, s, t^{-1}). \end{aligned}$$

Now, $P + Q + R \in \ell(P + Q, R) \Rightarrow$

$$\begin{vmatrix} 1 & 1 & 1 \\ 0 & t - ts & 1 - t \\ 1 & s & t \end{vmatrix} = 0$$

$$\Rightarrow 1 - s + 1 - t - (t - ts) - s(1 - t) = 0$$

$$\Rightarrow 2(1 - s)(1 - t) = 0$$

$$\Rightarrow 2 = 0.$$

Since O is on none of the lines

$$\ell(Q, P + R), \ell(R + Q, P + R), \ell(P + Q, P + Q + R),$$

it follows that $s \neq 0, s \neq t, s \neq t^{-1}$; hence $q > 4$. Also the 7 points of $\mathcal{K} \setminus \{O\}$ form a $PG(2, 2)$. The 8 points lie on the unique cubic \mathcal{C} with equation

$$(s + 1)x^2y + s(t + 1)x^2z + (t + 1)y^2z + t(s + 1)yz^2 = 0.$$

This is irreducible when $(s + t)(st + 1) \neq 0$, which is satisfied in this case. It has a cusp at $\mathbf{P}(\sqrt{t}, \sqrt{st}, 1)$ and all tangents to \mathcal{C} are concurrent at O .

For more on cuspidal cubics, see [2, section 11.3].

Lemma 5.2 *An 8-group-arc \mathcal{K} isomorphic to $\mathbf{Z}_2 \times \mathbf{Z}_4$ exists in $PG(2, q)$ if and only if q is odd with $q \geq 5$. Such a group-arc lies on a unique cubic curve, which is elliptic.*

Proof: The eight points of \mathcal{K} written as elements of $\mathbf{Z}_2 \times \mathbf{Z}_4$ are

$$O = (0, 0), P_1 = (0, 2), P_2 = (1, 0), P_3 = (1, 2), Q_1 = (0, 1), Q_2 = (0, 3), Q_3 = (1, 1), Q_4 = (1, 3).$$

Hence

$$2P_1 = 2P_2 = 2P_3 = O, P_1 + P_2 + P_3 = O, 2Q_1 = 2Q_2 = 2Q_3 = 2Q_4 = P_1.$$

So P_1, P_2, P_3 are the points of contact of the tangents through O , and Q_1, Q_2, Q_3, Q_4 the points of contact of the tangents through P_1 .

Let $O = \mathbf{P}(0, 0, 1)$ with tangent $y = 0$. Let $P_1 = \mathbf{P}(0, 1, 0)$, $P_2 = \mathbf{P}(1, 1, 1)$, $P_3 = \mathbf{P}(\alpha, 1, \alpha)$ with respective tangents $x = 0$, $x = y$, $x = \alpha y$; so $\alpha \neq 0, 1$. Then, if \mathcal{K} lies on the cubic curve \mathcal{E} , consider the intersection divisors in which \mathcal{E} meets the two curves with equations

$$y(x - z)^2 = 0 \text{ and } x(x - y)(x - \alpha y) = 0.$$

In both cases the divisor is

$$O \oplus O \oplus O \oplus P_1 \oplus P_1 \oplus P_2 \oplus P_2 \oplus P_3 \oplus P_3,$$

where \oplus has been used to denote the formal sum to distinguish it from the sum on a cubic curve elsewhere in this paper. So \mathcal{E} has equation

$$y(x - z)^2 + \lambda x(x - y)(x - \alpha y) = 0. \quad (2)$$

The common points of a line $z = tx$ through P_1 and \mathcal{C} are determined by

$$(1 - t)^2 x^2 y + \lambda x(x - y)(x - \alpha y) = 0; \quad (3)$$

that is, apart from P_1 , the points defined by

$$\lambda x^2 + xy\{(1 - t)^2 - \lambda(1 + \alpha)\} + \lambda \alpha y^2 = 0. \quad (4)$$

Since there are four tangents through P_1 , so q is odd. For a tangent, the discriminant $\Delta = 0$. Here

$$\Delta = \{(1 - t)^2 - \lambda(1 + \alpha)\}^2 - 4\lambda^2 \alpha = (1 - t)^4 - 2\lambda(1 + \alpha)(1 - t)^2 + \lambda^2(1 - \alpha^2).$$

Since $\Delta = 0$ has four solutions for t , so the discriminant Δ' of Δ considered as a quadratic in $(1 - t)^2$ is a square. Now,

$$\Delta' = \lambda^2(1 + \alpha)^2 - \lambda^2(1 - \alpha)^2 4\lambda^2 \alpha.$$

Hence $\alpha = \beta^2$; this incidentally means that $GF(q)$ contains a square other than 0 and 1, whence $q \neq 3$. Solving $\Delta = 0$ for $(1 - t)^2$ gives

$$(1 - t)^2 = \lambda(1 + \beta^2) \pm 2\lambda\beta = \lambda(1 \pm \beta)^2.$$

Hence $\lambda = \gamma^2$. Thus

$$1 - t = \pm\gamma(1 \pm \beta).$$

Therefore, (4) becomes $(x \pm \beta y)^2 = 0$. This gives for Q_1, Q_2, Q_3, Q_4 the points

$$\mathbf{P}(e\beta, 1, e\beta + f\beta\gamma - ef\beta^2\gamma)$$

where $e, f = \pm 1$. Also \mathcal{C} has equation

$$y(x - z)^2 + \gamma^2 x(x - y)(x - \beta^2 y) = 0,$$

which is elliptic.

For the calculation of the equations of cubic curves with a precise number of points, see also [4], [6], [7].

II. $k = 25$

Each case not covered in this paper can be reduced to a finite calculation. An arbitrary group-arc \mathcal{K} of a given order is given by a set of points, where some of the coordinates are elements of $GF(q)$ and some are indeterminates. The necessary collinearities are given by a set of polynomial equations in the indeterminates. An algebraic manipulation programme can then determine the consistency of these equations, and check whether or not \mathcal{K} lies on a cubic curve. For example, A. Simis (personal communication) has verified that if \mathcal{K} is isomorphic to $(\mathbf{Z}_5)^2$, then this works, as one expects from Corollary 4.2.

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